



21ST CENTURY COMMUNITY LEARNING CENTERS PROGRAM

HIGH RISK STATUS

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Brad Bryant, State Superintendent of Schools
"We will lead the nation in improving student achievement."

GaDOE's STRATEGIC GOALS

Goal 1 Increase high school graduation rate, decrease drop out rate, and increase post-secondary enrollment rate.

Status



Goal 2 Strengthen teacher quality, recruitment, and retention.

Status



Goal 3 Improve workforce readiness skills.

Status



Goal 4 Develop strong education leaders, particularly at the building level.

Status



Goal 5 Improve the SAT, ACT, and the achievement scores of Georgia students.

Status



Goal 6 Make polices that ensure maximum academic and financial accountability.

Status



HIGH RISK STATUS

- ✓ GaDOE may classify a sub-grantee as “high risk” due to non-compliance by the sub-grantee. As a result of this determination, GaDOE may impose special conditions and restrictions on the sub-grantee.
- ✓ A sub-grantee may be considered “high risk” if GaDOE determines that the sub-grantee:
 - Has a history of unsatisfactory performance,
 - Is financially unstable,**
 - Has a substandard management system,
 - Has not conformed to the terms and conditions of previous awards, or
 - Is otherwise not responsible.

WHAT MAKES A SUB-GRANTEE FINANCIALLY UNSTABLE?

- ✓ Questionable results of annual audit
- ✓ Audit findings
- ✓ Fiduciary monitoring findings
- ✓ New fiscal agent
- ✓ Report of fraud, waste, and abuse
- ✓ Submission of unallowable expenses

In addition to Evidence of:

- ✓ Legal issues
- ✓ Consented to subcontract with contractors who are suspended or debarred
- ✓ Difficulty raising local revenue, or insufficient fund balance to meet expected cost of operations; no, or very little reserves
- ✓ Financial ratios and cash flows are unfavorable (debt paying ability)
- ✓ Supplanting
- ✓ Agency's independent audit of financial statements renders a 'Qualified' 'Adverse' or 'Disclaimer' opinion
- ✓ No risk management – no insurance to prevent against loss or catastrophe
- ✓ No disaster recovery plan

WHAT MAKES A SUB-GRANTEE FINANCIALLY UNSTABLE?

- ✓ Not meeting required deadlines
 - Budgets
 - Budget amendments
 - Reimbursement requests monthly on the 15th
 - Completion report
 - Audit report

WHAT HAPPENS IF STATUS IS IMPOSED?

- ✓ If GaDOE classifies a sub-grantee as “high risk”, special conditions and restrictions may be imposed and shall correspond to the high risk condition of the sub-grantee. Special conditions and restrictions may include one or more of the following:
 - Withholding authority to proceed to the next phase until receipt of evidence of acceptable performance within a given funding period,
 - Requiring additional, more detailed financial reports,
 - Delay of funding,
 - Additional project monitoring,
 - Requiring the sub-grantee to obtain technical or management assistance, or
 - Establishing additional prior approval.

WHEN CAN THE STATUS BE IMPOSED?

- ✓ A sub-grantee may be classified as “high risk” prior to the awarding of a 21st CCLC grant or during the duration of the grant period.
- ✓ If GaDOE determines that a sub-grantee is “high risk” prior to making an award to that sub-grantee, the special conditions or restrictions shall be included in the award.

HOW CAN THE STATUS BE REMOVED?

- ✓ Comply with special conditions
- ✓ Comply with correction action plan
- ✓ GaDOE will re-evaluate

CAN THE STATUS BE APPEALED?

- ✓ Within 20 days of the date on the notification letter from GaDOE, the sub-grantee may submit, in writing, its request for reconsideration of the imposed special conditions or restrictions.
- ✓ The sub-grantee shall submit its request for reconsideration to the Associate Superintendent for Education Support and shall respond to each reason listed by GaDOE for the imposed special conditions or restrictions and explain why the reasoning is faulty or based upon excusable conduct.
- ✓ If GaDOE does not receive a written request for reconsideration in a timely manner, then the special conditions or restrictions are considered unopposed and will be implemented in accordance with the notification.

HOW DO I GET HELP?

- ✓ Contact your assigned budget analyst
- ✓ Make your finance department personnel your “BFF”



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